Sector & #4

Docket No. 96700/595

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

David E. Weinstein

Serial No.:

09/479,145

Filed:

January 7, 2000

For:

NOVEL GROWTH FACTOR OPA1 AND USES THEREOF

Examiner: Quo

Not yet assigned

"Express Mail" mailing label No.EL565809657US

Date of Deposit: May 8, 2000

Group Art Unit:

1633

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1 10 on the date indicated above and is addressed to the Assistant Commissioner for Patents. Washington, D C 20231 Name:

Signature: Number of State Sta

COMMUNICATION IN RESPONSE TO NOTICE TO FILE MISSING PARTS AND PETITION FOR A ONE-MONTH EXTENSION OF TIME

Assistant Commissioner for Patents Washington, D.C. 20231 Box Missing Parts

Sir:

The U.S. Patent and Trademark Office issued a Notice to File Missing Parts of Nonprovisional Application on February 29, 2000. A response to the Notice was due on April 29, 2000. Applicant hereby petitions for a one-month extension of time for filing a response to the Notice. A check in the amount of \$55.00 is enclosed to cover the fee for a one-month extension of time for a small entity. Accordingly, a response to the Office Action is now due May 29, 2000, and this Communication is being timely filed.

In response to the Notice to File Missing Parts of Nonprovisional Application,

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- 2. a Declaration and Power of Attorney signed by the applicant (Exhibit B);
- 3. a Statement Claiming Small Entity Status (Exhibit C);
- 4. a check in the amount of \$55.00 to cover the fee for a one-month extension of time;
- 5. a check in the amount of \$908.00 to cover the \$65.00 surcharge fee and the \$843.00 filing fee (calculated as follows: the \$345.00 basic filing fee + \$108.00 for 12 claims over 20 + \$390.00 for 10 independent claims over 3 + \$0.00 for 0 multiple dependent claims), all for a small entity;
 - 6. a Request for Corrected Filing Receipt (Exhibit D);
 - 7. a copy of the Filing Receipt (Exhibit E); and
 - 8. a return receipt postcard.

No fees, other than the \$55.00 fee for a one-month extension of time and the \$908.00 fee to cover the \$65.00 surcharge fee and the \$843.00 filing fee, are deemed necessary in connection with the filing of this Communication. If any further fee is required, authorization is hereby given to charge any deficiency to Deposit Account No. 01-1785.

Respectfully submitted,

AMSTER, ROTHSTEIN & EBENSTEIN Attorneys for Applicant 90 Park Avenue New York, New York 10016

(212)/697-5995



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address | COMMISSIONER OF PATENT AND TRADEMARKS | Washington, D C | 20231

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/479,145

01/07/2000

David E Weinstein

96700/595

Amster Rothstein & Ebenstein 90 Park Avenue New York NY 10016

Date Mailed: 02/29/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$996.
 - \$216 for 12 total claims over 20.
 - \$780 for 10 independent claims over 3.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1816.

A copy of this notice MUST be returned with the reply.

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